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PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

(37 CFR 1.63)

☐ Declaration
Submitted
with Initial
Filing

OR

☒ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Dock t Number

800529

First Named Inventor

Wheeler, David B.

COMPLETE IF KNOWN

Application Number

09/681,532

Filing Date

4/24/01

Group Art Unit

2183

Examiner Name

Unknown

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

System And Method For Determining User Identity Fraud Using Similarity Searching

The specification of which

(Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

4/24/2001

as United States Application Number or PCT International

Application Number 09/681,532

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, and foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)

Filing Date (MM/DD/YYYY)

60/201074

04/26/2000

☐ Additional provisional application
numbers are listed on a
supplemental priority data sheet
PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent, Washington, DC 20231.



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DECLARATION – Utility or Design Patent ApplicationDirect all Correspondence to: ☒ Customer Number **23372** OR ☐ Correspondence address below
or Bar Code Lab IName **Douglas D. Russell**Address **4807 Spicewood Springs Road**Address **Building One, Suite 1200**City **Austin**State **Texas**Zip **78759**Country **US**Telephone **512-338-4601**Fax **512-338-4651**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and those made so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any)) **David B.**Family Name
or Surname **Wheeler**Inventor's
Signature Date **6/21/01**Residence: City **Austin**State **TX**Country **US**United States of America
CitizenshipMailing Address **5809 Carry Back Lane**

Mailing Address

City **Austin**State **TX**Zip **78746**Country **US****NAME OF SECOND INVENTOR:**☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any)) **John R.**Family Name
or Surname **Ripley**Inventor's
Signature

Date

Residence: City **Round Rock**State **TX**Country **US**Citizenship **Canada**Mailing Address **1415 Baffin Cove**

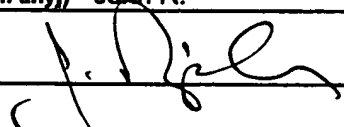
Mailing Address

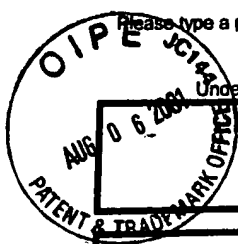
City **Round Rock**State **TX**Zip **78729**Country **US**☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



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DECLARATION – Utility or Design Patent Application

Direct all Correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<div style="border: 1px solid black; padding: 2px; display: inline-block;">23372</div>	OR <input type="checkbox"/>	Correspondence address below
Name Douglas D. Russell					
Address 4807 Spicewood Springs Road					
Address Building One, Suite 1200					
City Austin			State Texas	Zip 78759	
Country US		Telephone 512-338-4601		Fax 512-338-4651	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such statements may jeopardize the validity of the application or any patent issued thereon.</p>					
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) David B.			Family Name or Surname Wheeler		
Inventor's Signature					Date
Residence: City Austin		State TX	Country US	United States of America Citizenship	
Mailing Address 5809 Carry Back Lane					
Mailing Address					
City Austin		State TX	Zip 78746	Country US	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) John R.			Family Name or Surname Ripley		
Inventor's Signature 					Date 6-26-01
Residence: City Round Rock		State TX	Country US	Citizenship Canada	
Mailing Address 1415 Baffin Cove					
Mailing Address					
City Round Rock		State TX	Zip 78729	Country US	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



Please type a plus sign (+) inside this box ☐

PTO/SB/02A (11-00)

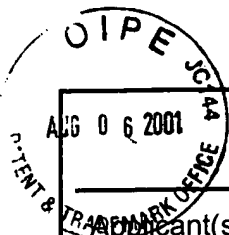
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Paul		Leury	
Inventor's Signature		Date	
Residence: City	Cedar Park	State	TX
Country	US	Citizenship	Canada
Mailing Address 1001 Hunter's Creek Drive			
Mailing Address			
City	Cedar Park	State	TX
ZIP	78613	Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
ZIP		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
ZIP		Country	

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wheeler, et al.

Application No.: 09/681,532

Filed: 4/24/01

Title: System and Method for Determining User
Identity Fraud Using Similarity Searching

Attorney Docket No.: 800529

Group Art Unit: 2183

Examiner: Unknown

DECLARATION OF FACTS

THIS INSTRUMENT HEREBY ACKNOWLEDGES that the undersigned, Gail Taylor Russell, is of legal age and does hereby swear and affirm that the following is true and accurate to the best of her knowledge:

- (1) I am Gail Taylor Russell, Vice President and General Counsel of Infoglide Corporation, having its principal office at 11100 Metric Blvd., Suite 750, Austin, TX 78758. The inventors/applicants of the above-referenced patent application are under an obligation of assignment to Infoglide Corporation.
- (2) Enclosed are the following documents which support the finding that Paul Leury (nonsigning inventor) refuses to join in the subject patent application:
 - (a) The Declaration and Assignment documents for the above-referenced patent application signed by all but one joint inventor, Paul Leury.
 - (b) Infoglide Corporation's cover letters of instruction mailed to Paul Leury's last known address, 1001 Hunter's Creek Drive, Cedar Park, TX 78613, dated June 6, 2001 and June 27, 2001. Under these cover letters were the Declaration and Assignment documents, which Paul Leury was requested to sign and return.
 - (c) A signed copy of the Employee Confidentiality and Non-Competition Agreement between Paul Leury and Infoglide Corporation, which is submitted as proof that Paul Leury is under an obligation of assignment to Infoglide Corporation and has an agreement and duty to cooperate with Infoglide Corporation in the execution of the application papers.

Signature: _____

Date 7-27-01

Name: _____

Gail Taylor Russell
Vice President and General Counsel
Infoglide Corporation
11100 Metric Blvd., Suite 750
Austin, TX 78758
US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wheeler, et al.

Application No.: 09/681,532

Filed: 4/24/01

Title: System and Method for Determining User
Identity Fraud Using Similarity Searching

Attorney Docket No.: 800529

Group Art Unit: 2183

Examiner: Unknown

DECLARATION OF FACTS

THIS INSTRUMENT HEREBY ACKNOWLEDGES that the undersigned, Douglas D. Russell, is of legal age and does hereby swear and affirm that the following is true and accurate to the best of his knowledge:

- (1) I am Douglas D. Russell, Attorney for Applicant in the above-referenced patent application. My business address is Taylor Russell & Russell, P.C., 4807 Spicewood Springs Road, Building One, Suite 1200, Austin, TX 78759.
- (2) Attached are copies of the following documents which support the finding that Paul Leury (nonsigning inventor) has refused to execute the application papers:
 - (a) My cover letter of instruction to Paul Leury, dated July 10, 2001, sent via certified mail, return receipt requested. Under this cover letter were the patent application papers, which included the specification description, claims and drawings, as well as the Declaration and Assignment documents. In this cover letter, I requested Paul Leury to execute and return the Declaration and Assignment documents.
 - (b) The certified mail return receipt attached to my cover letter (a) above, article number 7001 0320 0002 2445 9126, mailed July 10, 2001 and signed for and received on July 11, 2001 at Paul Leury's last known address, 1001 Hunter's Creek Drive, Cedar Park, TX 78613.

Signature:

Douglas D. Russell

Date

July 31, 2001

Name:

Douglas D. Russell
Attorney for Applicant
Taylor Russell & Russell, P.C.
4807 Spicewood Springs Road
Building One, Suite 1200
Austin, TX 78759
512-338-4601
512-338-4651 (Fax)
US

**ASSIGNMENT OF INVENTION AND
PATENT APPLICATION**

Dock t Number (optional)
800529

FOR VALUE RECEIVED, David B. Wheeler (hereinafter ASSIGNOR), hereby sells, assigns, transfers and sets over unto Infoglide Corporation, having its principal office at 11100 Metric Blvd., Ste. 750, Austin, TX 78758 (hereinafter ASSIGNEE), 100% of ASSIGNOR'S right, title and interest in and to:

- (a) the invention entitled **"System and Method for Determining User Identity Fraud Using Similarity Searching"**;
- (b) the application for United States patent therefor, signed by ASSIGNOR on the date listed below, U.S. Patent and Trademark Office Serial Number 09/681,532, filed 4/24/2001;
- (c) any patent or reissues of any patent that may be granted thereon; and
- (d) any applications which are continuations, continuations-in-part, substitutes or divisions of said application.

ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR's entire right, title and interest in and to said invention in each and every country foreign to the United States, and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

To be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: 
Name: David B. Wheeler (ASSIGNOR)

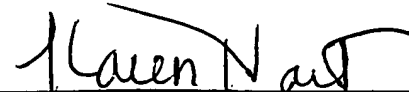
Date 6/29/01

State of Texas)

County of TRAVIS)

B fore me, the undersigned authority, on this 29th day of June 2001, personally appeared David B. Wheeler, known to me or proved to me through _____ to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.




Notary Public

**ASSIGNMENT OF INVENTION AND
PATENT APPLICATION**

Docket Number (optional)
800529

FOR VALUE RECEIVED, Paul Leury (hereinafter ASSIGNOR), hereby sells, assigns, transfers and sets over unto Infoglide Corporation, having its principal office at 11100 Metric Blvd., Ste. 750, Austin, TX 78758 (hereinafter ASSIGNEE), 100% of ASSIGNOR'S right, title and interest in and to:

- (a) the invention entitled **"System and Method for Determining User Identity Fraud Using Similarity Searching"**;
- (b) the application for United States patent therefor, signed by ASSIGNOR on the date listed below, U.S. Patent and Trademark Office Serial Number 09/681,532, filed 4/24/2001;
- (c) any patent or reissues of any patent that may be granted thereon; and
- (d) any applications which are continuations, continuations-in-part, substitutes or divisions of said application.

ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR's entire right, title and interest in and to said invention in each and every country foreign to the United States, and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

To be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: _____
Name: Paul Leury (ASSIGNOR)

Date _____

State of Texas)

County of _____)

Before me, the undersigned authority, on this _____ day of _____ 2001, personally appeared Paul Leury, known to me or proved to me through _____ to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public

**ASSIGNMENT OF INVENTION AND
PATENT APPLICATION**

Docket Number (optional)
800529

FOR VALUE RECEIVED, John R. Ripley (hereinafter ASSIGNOR), hereby sells, assigns, transfers and sets over unto Infoglide Corporation, having its principal office at 11100 Metric Blvd., Ste. 750, Austin, TX 78758 (hereinafter ASSIGNEE), 100% of ASSIGNOR'S right, title and interest in and to:

- (a) the invention entitled "**System and Method for Determining User Identity Fraud Using Similarity Searching**";
- (b) the application for United States patent therefor, signed by ASSIGNOR on the date listed below, U.S. Patent and Trademark Office Serial Number 09/681,532, filed 4/24/2001;
- (c) any patent or reissues of any patent that may be granted thereon; and
- (d) any applications which are continuations, continuations-in-part, substitutes or divisions of said application.

ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR's entire right, title and interest in and to said invention in each and every country foreign to the United States, and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

To be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

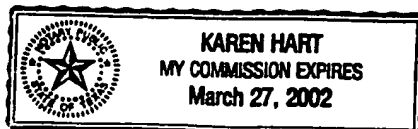
Signature: [Handwritten Signature]
Name: (John R. Ripley (ASSIGNOR))

Date 6-26-01

State of Texas)

County of TRAVIS)

Before me, the undersigned authority, on this 26th day of JUNE 2001, personally appeared John R. Ripley, known to me or proved to me through _____ to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



[Handwritten Signature: Karen Hart]
Notary Public